

IFW



PATENT  
Customer No. 22,852  
Attorney Docket No. 06502.0267

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
Adrian E. COLLEY et al. ) Group Art Unit: 2126  
)  
Application No.: 09/753,686 ) Examiner: Van H. Nguyen  
)  
Filed: January 4, 2001 )  
)  
For: METHOD AND SYSTEM FOR )  
PASSING OBJECTS IN A )  
DISTRIBUTED SYSTEM USING )  
SERIALIZATION CONTEXTS )

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed

documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claims in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of such documents.

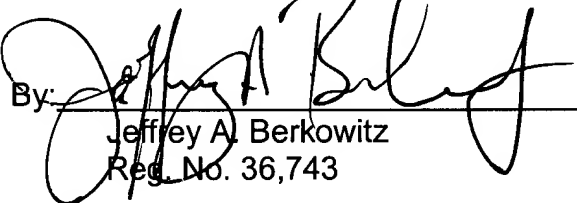
Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: September 29, 2004

By:   
Jeffrey A. Berkowitz  
Reg. No. 36,743

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

Atty. Docket No.: 06502.0267

Application No.: 09/753,686

Applicant: Adrian E. COLLEY et al.

Filing Date: January 4, 2001

Group: 2126

**U.S. PATENT DOCUMENTS**

Examiner Initial*	Document Number	Date	Name	Class	Sub Class	Filing Date If Appropriate
	5,339,430	08/16/1994	Lundin et al.			
	5,806,042	09/08/1998	Kelly et al.			
	5,999,988	12/07/1999	Pelegri-Liopart et al.			
	6,018,619	01/25/2000	Allard et al.			
	6,301,613 B1	10/09/2001	Ahlstrom et al.			
	6,418,468 B1	07/09/2002	Ahlstrom et al.			
	6,604,127 B2	08/05/2003	Murphy et al.			
	6,604,140 B1	08/05/2003	Beck et al.			
	2003/0191842 A1	10/09/2003	Murphy et al.			
	6,654,793 B1	11/25/2003	Wollrath et al.			

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

	Wollrath et al., "JAVA-Centric Distributed Computing," IEEE Mirco May/June 1997, pages 44-53.

Examiner

Date Considered:

\*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Form PTO 1449

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